

## Self-Employed Financial Planner

Reporting to Managing Director

**Location:** Exeter - You will be home-based with access to office support.

**Purpose:** We are an established firm of Chartered Financial Planners. Your job will be to deliver high quality, independent and truly personalised financial planning via a new brand targeting the development of professional connections and other sources of quality leads.

The role has come about through the organic growth of the business and a desire to develop relationships through solicitors and accountants, which is a market we understand well and that we feel is underserved.

We would be interested in hearing from applicants who have a track record of developing professional connections and/or have specialist knowledge in areas that would be of interest to this market, such as , at retirement planning, Inheritance Tax mitigation, Equity Release and specialist pension planning using SSAS or SIPP vehicles.

### Responsibilities:

Predominantly you will be expected to generate new business as a natural extension of the high quality advice you provide and ideally will bring with you an existing client bank.

You will be supported in the identification and development of professional connections. As a self-employed financial planner, you may also be provided from time-to-time with qualified leads from our legacy client base, to complement your own efforts. You are expected to maintain frequent contact with all clients in line with our service proposition.

You will maintain client records on our back office system to ensure they are current and in line with compliance procedures and will receive administrative support via a fair use policy. You will also be supported by a team of para-planners.

You will be responsible for ensuring suitability is demonstrated for all advice you provide, backed-up by research and reviewed regularly so that it remains aligned with client's changing circumstances, needs and priorities. Your performance will be gauged on a variety of metrics to ensure the highest standard of behaviours, consistent with our values.

You will build knowledge and understanding of the firm's compliance procedures including record keeping requirements, to ensure the firm's responsibilities for preventing financial crime, securing client data, demonstrating suitability and abiding by FCA rules are met.



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## Relevant skills and knowledge:

Candidates will be able to demonstrate an extensive record in financial planning in an independent environment, preferably with a bias towards pension planning. The ideal candidate will have strong knowledge and experience of a broad range of corporate and personal financial planning.

You must already have an appropriate Level 4 qualification with evidence of gap-fill where appropriate. Candidates who have made progress towards a Level 6 qualification will be preferred.

The successful candidate will hold a current Statement of Professional Standing and must meet the requirements at all times to maintain this.

You must be a successful self-starter and will possess excellent prioritisation and time management skills, to ensure targets, timelines and client expectations are met.

You must be competent in using the MS Office suite of products so you are able to be self-sufficient in performing tasks such as producing letters and reports, performing spreadsheet calculations and preparing presentations.

As a member of the team you will be expected to attend quarterly meetings with the wider group.

## Relevant skills and knowledge:

In return for the right candidate, we offer a competitive package, including realistic OTE in excess of £70,000, although earnings are uncapped.

Your remuneration can be bolstered by appropriate referrals to other parts of the Group.

## How to apply:

If you believe you have what it takes to become a successful member of our team, please email your CV and a covering letter to [jamesriday@prydis.com](mailto:jamesriday@prydis.com) or post to James Priday, Prydis Wealth, Senate Court, Southernhay Gardens, Exeter, Devon EX1 1NT. Please specify the role for which you are applying and ensure you include your full contact details, so we can get in touch with you.

Prydis Group are an Equal Opportunities Employer.



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